IDNR: 7057 / V: 03.05.20 / B: Val

HE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT 3-11-04

Applicant: David Wesley Smith

Docket No.: 2001P09661 US01

Serial No.: 10/077,372

Group Art Unit: 2151

Filed: February 15, 2002

Title: System and Method for Monitoring Computer Application and Resource Utilization

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## **CERTIFICATE OF MAILING**

Technology Center 2100

I hereby certify that correspondence is being deposited on with the U.S. Postal Service with sufficient postage as first class mail on the below-indicated date in an envelope addressed to: Assistant Commissioner for Patents, Alexandria, VA 22313-1450

Alexander J. Burke Reg. No. 40,425

Mail Stop Patent Application **Assistant Commissioner for Patents** P.O.Box 1450 **Alexandria, VA 22313-1450** 

A Property of the Property of INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1

Submitted herewith on Form PTO-1449 is a listing of documents cited on an International Search Report (ISR) dated October 6, 2003 in connection with corresponding International Application No. PCT/US02/15485 in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. §1.56. A copy of the listed documents and International Search Report (ISR) is being submitted to comply with the provisions of C.F.R. § 1.97-1.98.

The submission of any document herewith, which is not statutory bar, is not intended as an admission that such document constitutes prior art or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

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## CONCISE EXPLANATION OF RELEVANCE OF EACH DOCUMENT

Because an English language version of the ISR has been submitted along with the documents cited on the Form PTO-1449, no further explanation of each document is required in accordance with 37 C.F.R. §1.98 and M.P.E.P. §609A(3). Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with M.P.E.P. §609.

It is believed no fees are due in connection with the filing of this Information Disclosure Statement; however, the Commissioner is hereby authorized to charge/credit any fees due to Deposit Account No. 19-2179.

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Respectfully submitted,

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**Technology Center 2100** 

Date: March 4, 2004

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Atty. Docket No. 2001P09661 US01 Serial No. 10/077,372

INFORMATION CITED BY APPLICANT

**Applicant David Wesley Smith** 

Filling Date Group (Use several sheets if necessary) February 15, 2002 2151 **U.S. Patent Documents** Filling Date Examiner if appropriate Class **Subclass** Date Initial **Document Number** Name Mark W. Mueller 300 364 11/06/1984 4,481,583 RECEIVED Technology Center 2100 **Foreign Patent Documents Document Number** Subclass Country Class Translation Date **EPO** EP 0 501 076 A 09/02/1992 Other Prior Art (including Author, Title, Date, Pertinent Pages, etc.) "AIX VERSION 3.2 - System Management Guide: Operating System and Devices, Chapter 14 System Accounting" 1 October 1993 pp. 14-1-14-29 XP002067192 Abstract International Search Report **Date Considered** Examiner